



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of  
**James Hendrix et al**

Application No.: **10/078,225**

Filed: **19 February 2002**

Title: **NOVEL HETEROCYCLIC  
SUBSTITUTED CARBONYL  
DERIVATIVES AND THEIR USE AS  
DOPAMINE D3 RECEPTOR LIGANDS**

Examiner:

Art Unit: **1614**

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as First  
Class Mail in an envelope addressed to Commissioner  
for Patents, Washington, D.C. 20231, on

11-1-2002

Date of Deposit

Barbara Walker  
Signature

**LATE SUBMISSION OF DECLARATION  
UNDER 37 C.F.R. 1.53(f)**

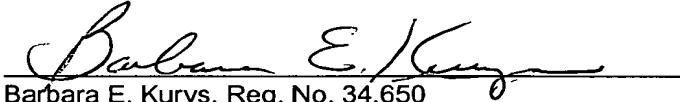
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED" which was mailed on 8 May, 2002 and which indicated that the oath or declaration was missing, Applicants submit herewith the Declaration for the present application and a copy of Form PTO-1533.

Please charge the \$130.00 fee involved to Deposit Account No. 18-1982. The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Account No. 18-1982. Two duplicate copies of this sheet are enclosed.

Respectfully submitted,

  
Barbara E. Kurys, Reg. No. 34,650  
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Docket No. A 4015 US NP



NOV 06 2002

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/078,225	02/19/2002	James A. Hendrix	A4015 US NP

005487  
AVENTIS PHARMACEUTICALS, INC.  
PATENTS DEPARTMENT  
ROUTE 202-206, P.O. BOX 6800  
BRIDGEWATER, NJ 08807-0800



CONFIRMATION NO. 6185  
FORMALITIES LETTER



OC000000008059798\*

Date Mailed: 05/08/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

*A copy of this notice MUST be returned with the reply.*

11/07/2002 RME/RAHT 00000053 181982  
01 FC:1051 130.00 CH

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Page 1 of 2



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/078,225	02/19/2002	1614	2298	A 4015 US NP		90	5

005487  
ROSS J. OEHLER  
AVENTIS PHARMACEUTICALS INC.  
ROUTE 202-206, MAIL CODE: D-303A  
BRIDGEWATER, PA 08807

*wrong State  
Address. Paper Reprinted and Mailed out.*

CONFIRMATION NO. 6185

UPDATED FILING RECEIPT



\*OC00000009151556\*

Date Mailed: 11/25/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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## Domestic Priority data as claimed by applicant

This appln claims benefit of 60/269,672 02/16/2001

## Foreign Applications

UNITED KINGDOM 0117577.7 07/19/2001

If Required, Foreign Filing License Granted: 05/08/2002

Projected Publication Date: 03/06/2003

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Non-Publication Request: No

Early Publication Request: No

**Title**

Novel heterocyclic substituted carbonyl derivatives and their use as dopamine D3 receptor

**Preliminary Class**

514

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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